PTO/SB/21 (modified)
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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

| Rev. 10/95 | Patent and Trademark Office | Application Number | 10/020,558 | | |
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| Fi | | Filing Date | December 14, 2001 | | |
| TRANSMI | TTAL FORM | First Named Inventor | Sol P. DiJaili | | |
| | condence during pendency of pplication) | Group Art Unit Number | 2811 | | |
| | | Examiner Name | Not yet known | | |
| Total Number of Pages in | This Submission 9* | Attorney Docket Number | 21153-05927 | | |
| | ENCLOSURES | (check all that apply | () | | |
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| | SIGNATURE OF | ATTORNEY OR AGEN | IT | | |
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| Attorney/Reg. No.: Mic | hael Plimier, Reg. No.: 43,004 | Dated: May 1, 2004 | | | |
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FEE TRANSMITTAL

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| Filing Date | December 14, 2001 | | | |
| First Named Inventor | Sol P. DiJaili | | | |
| Group Art Unit | 2811 | | | |
| Examiner Name | Not yet known | | | |
| Attorney Docket Number | 21153-05927 | | | |

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UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS:

Sol P. DiJaili, Jeffrey D. Walker and John M. Wachsman

APPLICATION NO.:

10/020,558

FILING DATE:

December 14, 2001

TITLE:

Optical Logical Circuits Based on Lasing Semiconductor Optical

Amplifiers

EXAMINER:

Not yet known

GROUP ART UNIT:

2811

ATTY, DKT, NO.:

21153-05927

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COMMISSIONER FOR PATENTS WASHINGTON, DC. 20231

INFORMATION DISCLOSURE STATEMENT Under 37 CFR §§ 1.56 and 1.97-98

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO-1449 listing references for consideration by the Examiner. Enclosed is a copy of each listed references that may be material to the examination of this application, and for which there may be a duty to disclose.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

This Information Disclosure Statement is being filed:

within three months of the filing date of the application, or date of entry 冈 into the national stage of an international application, or before the mailing date of a first office action on the merits, whichever event last occurred;

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| final action | under 37 CFR §1.113; or (2) an action that otherwise closes |
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| | ached hereto is the fee set forth under 37 CFR §1.17(p) for |
| sub | mission of this Information Disclosure Statement under 37 |
| CF. | R.§ 1.97(c); OR |
| ☐ Ap _l | plicant certifies pursuant to 37 CFR § 1.97(e) that: |
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| | Disclosure Statement was first cited in a communication |
| | from a foreign patent office in a counterpart foreign |
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| | and, to the knowledge of the person signing this |
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| | information contained in this Statement was known to any |
| | individual designated under 37 CFR § 1.56(c) more than |
| | three months prior to the filing of this Statement: |
| before the pa | ayment of the issue fee but after the mailing date of the first to |
| occur of eith | er: (1) a final action under 37 CFR § 1.113; or (2) an action |
| that otherwis | se closes prosecution in the application, and: |
| ☐ Appl | icant certifies pursuant to 37 CFR. § 1.97(e) that: |
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| | foreign patent office in a counterpart foreign application not |
| | more than three months prior to the filing of this Statement; |
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| | foreign patent office in a counterpart foreign application |
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| | and, to the knowledge of the person signing this |
| | certification after making reasonable inquiry, no item of |
| | information contained in this Statement was known to any |
| | individual designated under 37 CFR § 1.56(c) more than |
| | three months prior to the filing of this Statement; AND |
| | attached hereto is the fee set forth under 37 CFR §1.17(p) for |
| | submission of this Information Disclosure Statement under 37 |
| | CFR.§ 1.97(c); OR |
| | after the payment of the issue fee. Applicant request that the information |
| | contained in this Information Disclosure Statement be placed in the file |
| | according to 37 CFR § 1.97(i), although the information may not be |
| | considered by the USPTO. |
| | This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior |
| | application No, filed on, and the references cited therein are hereby |
| | referenced, but are not required to be provided in this application under 37 CFR § |
| | 1.98(d). |
| | Each item of information contained in this Information Disclosure Statement was |
| | cited in a communication from a foreign patent office in a counterpart application, |
| | and the communication was not received by any individual designated in 37 CFR |
| | § 1.56(c) more than thirty days prior to the filing of this Information Disclosure |
| | Statement. 37 CFR § 1.704(d). |
| \boxtimes | Applicant submits that no fee is required for the consideration of this Information |
| | Disclosure Statement. |
| Consid | deration of the listed references and favorable action are solicited. |
| | Respectfully submitted, |
| | Sol P. DiJaili, Jeffrey D. Walker |
| | and John M. Wachsman |
| | |
| | 11y , 2002 By: 1/4/ |
| | Michael Plimier, Reg. No.: 43,004 |
| | Fenwick & West LLP |
| | Two Palo Alto Square |
| | Palo Alto, CA 94306 |
| | Tel.: (650) 858-7636 Fax: (650) 494-1417 |
| | (000) 12 1711 |

Dated:

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FORM PTO-1449

(REV. 6-89)

6. DEPARTMENT OF COMMERCE

Patent and Trademark Office INFORMATION DISCLOSURE CITATION Attorney's Docket No. 21153-05927

Serial No. 10/020,558

Sheet _1_ of _3

Applicants

Sol P. DiJaili et al.

Filing Date

December 14, 2001

Group Art Unit 2811

| | _ | | U.S. PA | TENT DOCUMENTS | | | |
|------------------|---|---|-----------------|--|--------------|--------------|----------------------------|
| Examiner Initial | <u> </u> | Document Number | Date | Name | Class | Subclass | Filing Date If Appropriate |
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EXAMINER: Initial if references considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. include copy of this form with next communication to applicant.

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| | | | | Sheet 2 of 3 | | | | | |
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| FORM PTO- (REV. 6-89) | | Patent and Trademark Office MATION DISCLOSURE CITATION | Attomey's Docket No. 21153-05927 | Serial No. 10/020,558 | | | | | |
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| | | | Sol P. DiJ | Jaili et al. | | | | | |
| | (Use several sheets if necessary) Filing Date Group Art Unit December 14, 2001 | | | | | | | | |
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| FORM PTO-1449 (REV. 6-89) | U.S. DEPARMENT OF COMMERCE | Attorney's Docket No. 21153-05927 | Serial No. 10/020,558 | | | |
| INFOR | MATION DISCLOSURE CITATION | Applicants Sol P. DiJ | | | | |
| | (Use several sheets if necessary) | Filing Date December 14, 2001 | Group Art Unit 2811 | | | |
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| EXAMINER: Initial if refe | rences considered, whether or not citation is in conformance with MPE | D S 600: Drow line through siteting if and in | | | | |

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